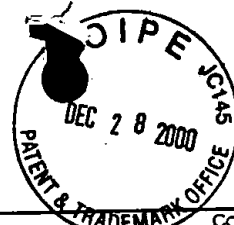




## UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/628,667	07/28/2000	2856	3126	10436-0010-999	8	125	10

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

## FILING RECEIPT



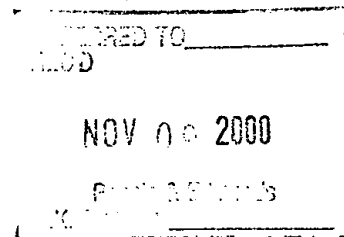
\*OC000000005529410\*

Date Mailed: 11/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

David Putnam, Cambridge, MA ; ✓  
~~Hongming Chen, Arlington, MA ; ✓~~  
Nicholas Galakatos, Sudbury, MA ; ✓  
Robert S. Langer, Newton, MA ; ✓



## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/540,462 03/31/2000 ✓  
WHICH CLAIMS BENEFIT OF 60/146,019 07/28/1999 ✓  
~~AND CLAIMS BENEFIT OF 60/127,755 04/05/1999~~

## Foreign Applications

If Required, Foreign Filing License Granted 10/10/2000

## Title

Sample arrays and high-throughput testing thereof to detect interactions ✓

## Preliminary Class

073

Express Mail No.: EL 501 636 256 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Putnam *et al.*

Application No.: 09/628,667

Filed: July 28, 2000

For: Sample Arrays and High Throughput  
Testing Thereof to Detect Interactions

Group Art Unit: 2856

Examiner: To be assigned

Attorney Docket No.: 10436-0010-999



**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, which was mailed on November 3, 2000 (a copy of which is attached hereto), Attorneys for Applicants respectfully submit herewith a Declaration executed by the inventors and a Power of Attorney executed by Peter Courossi, Chief Financial Officer of the assignee, TransForm Pharmaceuticals, Inc. It is requested that the Declaration and Power-of-Attorney forms be entered in the file of the above-identified application. Applicants are filing concurrently herewith a preliminary amendment and a request for a corrected filing receipt to correct the cross reference to prior applications.

Please charge the required fees, estimated to be \$130.00, to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Date December 28, 2000

Respectfully submitted,

*Anthony M. Insogna*  
*Anthony M. Insogna*

Anthony M. Insogna

35,203  
(Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Putnam *et al.*

Application No.: 09/628,667

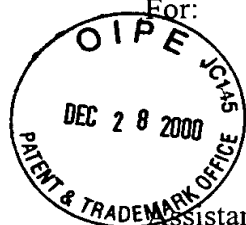
Group Art Unit: 2856

Filed: July 28, 2000

Examiner: To be assigned

For: Sample Arrays and High Throughput  
Testing Thereof to Detect Interactions

Attorney Docket No.:  
10436-0010-999



**REQUEST FOR CORRECTION OF FILING RECEIPT**

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

Sir:

Please note the errors where highlighted on the copy of the filing receipt enclosed herewith.

**(1) The Continuing Data as Claimed by Applicant section is incorrect.**

The Continuing Data as Claimed by Applicant section should read

"This Application is a CIP of 09/540,462 03/31/2000, which claims benefit of 60/127,755  
04/05/1999"

**(2) The Applicant(s) section is incorrect. The Applicant(s) section should**

read:

"David Putnam, Cambridge, MA;  
Nicholas Galakatos, Sudbury MA;  
Robert S. Langer, Newton, MA;"

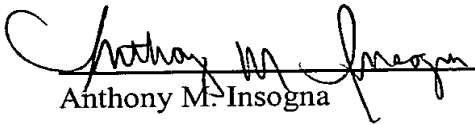
Hongming Chen is not an applicant, therefore her name should be deleted.

Please correct these errors as soon as possible.

Please charge the necessary fee to Pennie & Edmonds LLP Deposit Account  
no. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date December 28, 2000

 35,203  
Anthony M. Insogna (Reg. No.)  
PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosure

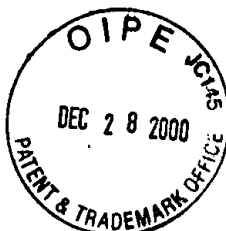


## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/628,667	07/28/2000	David Putnam	10436-0010-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711



## FORMALITIES LETTER



\*OC00000005529411\*

Date Mailed: 11/03/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/04/2001 BHABTEW 00000104 161150 09628667  
01 FC:105 130.00 CH